

PATENT
Atty. Docket: 1423-5 PCT US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael John Radley Young et al.

Serial No.: 10/509,258

Group: Unknown

Filed: September 27, 2004

Dated: April 4, 2005

For: IMPROVED SURGICAL TOOL MECHANISM

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF DECLARATION/POWER OF ATTORNEY

Sir:

Enclosed herewith is a properly executed original Combined Declaration and Power of Attorney in connection with the above-identified application. Also enclosed is a check for \$65.00 to cover the surcharge under 37 C.F.R. § 1.16(e). A Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted has not been received to date by the undersigned attorneys for the applicant.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 4, 2005.

Dated: April 4, 2005

David M. Crater
(Type or print name of person mailing paper)

David M. Crater
(Signature of person mailing paper)

If the enclosed check is insufficient for any reason or becomes detached, please charge the required fee under 37 C.F.R. § 1.16(e) to Deposit Account No. 50-2140. Also, in the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-2140. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



David M. Carter

Registration No. 30,949
Attorney for Applicants

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COMBINED DECLARATION AND POWER OF ATTORNEY

10/509258

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,
DIVISIONAL, CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: *(check one applicable item below)*

- ☒ original
☐ design
☐ supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application do not check next item; check appropriate one of last three items.

- ☒ national stage of PCT

NOTE: If one of the following 3 items apply then complete and also attach ADDED PAGES FOR
DIVISIONAL, CONTINUATION OR CIP.

- ☐ divisional
☐ continuation
☐ continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

WARNING: If the inventors are each not the inventors of all the claims an explanation of the facts,
including the ownership of all the claims at the time the last claimed invention was made,
should be submitted.

My residence, post office address and citizenship are as stated below next to my name,
I believe I am the original, first and sole inventor *(if only one name is listed below)* or an
original, first and joint inventor *(if plural names are listed below)* of the subject matter
which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

IMPROVED SURGICAL TOOL MECHANISM

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the specification of which: (complete (a), (b) or (c))

(a) ☐ is attached hereto.

(b) ☐ was filed on _____ as ☐ Serial No. _____ or ☐ Express Mail No., as Serial No. not yet known _____ and was amended on _____ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 C.F.R. 1.67.

(c) ☒ was described and claimed in PCT International Application No. PCT/GB03/01336 filed on March 27, 2003.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56, and

☐ in compliance with this duty there is attached an information disclosure statement in accordance with 37 C.F.R. §1.98.

PRIORITY CLAIM (35 U.S.C. §119(a)-(d))

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

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(d) ☐ no such applications have been filed.

(e) ☒ such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

**A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119(a)-(d)**

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

**CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)
(35 U.S.C. §119(e))**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

FILING DATE

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. §120.

I hereby appoint:

- ☒ Practitioners associated with Customer Number: 31554
OR
☐ Practitioners named below:

10/509258

DAVID M. CARTER, Reg. No. 30,949; PETER DELUCA, Reg. No. 32,978; RAYMOND E. FARRELL, Reg. No. 34,816; JOSEPH W. SCHMIDT, Reg. No. 36,920; JEFFREY S. STEEN, Reg. No. 32,063; CHRISTOPHER G. TRAINOR, Reg. No. 39,517; GEORGE LIKOUREZOS, Reg. No. 40,067; EDWARD C. MEAGHER, Reg. No. 41,189; RUSSELL R. KASSNER, Reg. No. 36,183; FRANCESCO SARDONE, Reg. No. 47,918; DANA A. BRUSSEL, Reg. No. 45,717; JAMES M. LOEFFLER, Reg. No. 37,873; MICHAEL R. BREW, Reg. No. 43,513; MICHAEL J. PORCO, Reg. No. 46,007; and BERNADETTE M. BENNETT, Reg. No. 44,532, each of them of CARTER, DELUCA, FARRELL & SCHMIDT, LLP, 445 Broad Hollow Road, Suite 225, Melville, New York 11747.

as my/our attorneys or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:
(Name and telephone number)

CARTER, DELUCA, FARRELL & SCHMIDT, LLP (631) 501-5700
~~445 Broad Hollow Road~~
~~Suite 225~~
Melville, New York 11747

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NOTE: Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.

10/509258

1-00 Full name of **sole or first inventor** Michael John Radley Young

Inventor's signature [Signature] Date 27.9.04

Country of Citizenship United Kingdom

Residence Bremridge, Ashburton, Newton Abbot,
South Devon TQ13 7JX, United Kingdom GbY

Post Office Address Bremridge House, Bremridge, Ashburton, Newton Abbot,
South Devon TQ13 7JX, United Kingdom

2-00 Full name of **second joint inventor**, if any Stephen Michael Radley Young

Inventor's signature [Signature] Date 27.9.04

Country of Citizenship United Kingdom

Residence Bremridge, Ashburton, Newton Abbot
South Devon, TQ13 7JX, United Kingdom GbY

Post Office Address Bremridge House, Bremridge, Ashburton, Newton Abbot,
South Devon TQ13 7JX, United Kingdom

Full name of **third joint inventor**, if any _____

Inventor's signature _____ Date _____

Country of Citizenship _____

Residence _____

Post Office _____

CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING
ADDED PAGE(S) WHICH FORM A PART OF THIS DECLARATION

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- ☐ Signature for subsequent joint inventors.
Number of pages added ____.
- ☐ Signature by administrator(trix), executor(trix) or legal representative for deceased
or incapacitated inventor.
Number of pages added ____.
- ☐ Signature for inventor who refuses to sign or cannot be reached by person
authorized under 37 C.F.R. §1.47.
Number of pages added ____.

- ☒ Added pages to combined declaration and power of attorney for divisional,
continuation, or continuation-in-part (CIP) application.
Number of pages added 2.

- ☐ Authorization of attorney(s) to accept and follow instructions from representative.

If no further pages form a part of this Declaration then end this Declaration with
this page and check the following item.

- ☐ This declaration ends with this page.

ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY
FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION

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(complete this part only if this is a
divisional, continuation or CIP application)

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)
UNDER 35 U.S.C. 120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claim of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:					
U.S. APPLICATIONS			Status (Check One)		
U.S. APPLICATIONS	U.S. FILING DATE	Patented	Pending	Abandoned	
1. 0 /					
2. 0 /					
3. 0 /					
4. 0 /					
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NOS. ASSIGNED (if any)			
5. PCT/GB03/01336	27 March 2003				
6.					
7.					
8.					

35 U.S.C. 119 PRIORITY CLAIM, IF ANY, FOR ABOVE LISTED
U.S./PCT APPLICATIONS

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ABOVE APPLICATION NO.	DETAILS OF FOREIGN APPLICATION FROM WHICH PRIORITY CLAIMED UNDER 35 U.S.C. 119		
	<u>Country</u> Application No.	Date of filing (day, month, year)	Date of Issue (day, month, year)
1.PCT/GB/03/01336	United Kingdom GB0207318.7	28 March 2002	
2.			
3.			
4.			
5.			
6.			